

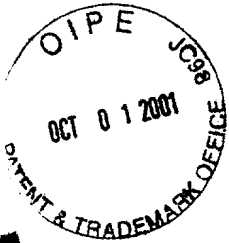
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PTO/SB/21 (6-98)  
Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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<b>TRANSMITTAL FORM</b> <i>(to be used for all correspondence after initial filing)</i>	<b>Application Number</b>	09/837,806
	<b>Filing Date</b>	April 18, 2001
	<b>First Named Inventor</b>	Agrawal
	<b>Group Art Unit</b>	
	<b>Examiner Name</b>	
<b>Total Number of Pages in This Submission</b>		<b>Attorney Docket Number</b> HYZ-069CN (47508-407)

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input checked="" type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> <b>Postcard</b>
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	
<input checked="" type="checkbox"/> Response to Missing Parts/Incomplete Application	<b>Remarks</b>	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	1. Formal Drawings (Figs. 1-3) - 3 Sheets 2. Request and Statement Under 37 C.F.R. 1.821(e) 3. Copy of Notice to File Corrected Application Papers	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
<b>Firm or Individual name</b>	Ann-Louise Kerner, Ph.D. (Reg. No. 33,523)
<b>Signature</b>	<i>Ann-Louise Kerner</i>
<b>Date</b>	Sept. 27, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 9-27-01			
<b>Typed or printed name</b>	<i>MELANIE Legare</i>		
<b>Signature</b>	<i>Melanie Legare</i>	<b>Date</b>	9-27-01

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
(Attorney Docket No. HYZ-069CN (47508.407))

In re Application of: Agrawal ) Examiner: Not Yet Assigned  
Serial No: 09/837,806 ) Art Unit:  
Filed: April 18, 2001 )  
For: NOVEL HIV-SPECIFIC SYNTHETIC OLIGONUCLEOTIDES  
AND METHODS OF THEIR USE

\*\*\*\*\*  
**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 CFR 1.8**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Box Missing Parts, Commissioner for Patents, Washington, DC 20231 on the date indicated below.

Date: 9-27-01

*Melanie Legare*  
Melanie Legare  
\*\*\*\*\*

BOX MISSING PARTS  
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Washington, D.C. 20231

**RESPONSE**

Dear Sir:

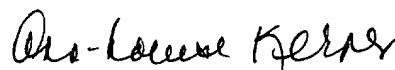
In response to the Notice to File Corrected Application Papers (copy enclosed), please be advised that present application is a continuation of U.S. Patent Application No. 08/914,827 filed August 19, 1997. In accordance with 37 C.F.R. 1.821 (e), the computer readable form of the Sequence Listing for present application is identical to that of the parent application. The computer readable form of the Sequence Listing of the parent application (submitted August 19, 1997) was compliant with all of the requirements of the U.S. Patent and Trademark Office. Therefore, a duplicate computer readable Sequence Listing is not required for present application.

4. Preliminary Amendment;
5. Copy of executed Declaration and Power of Attorney for Utility Patent Application (37 CFR 1.63);
- ✓ 6. Copy of recorded Assignment (Assignment and cover sheet);
7. Copy of Verified Small Entity Statement (37 CFR 1.27(c) and 1.9(f));
8. Return postcard.

Please charge our Deposit Account No. 08-0219 the required filing fee for a small entity of \$971.00. In addition, please charge any fees or credit any overpayment to Deposit Account No. 08-0219.

Respectfully submitted,

HALE AND DORR LLP



Ann-Louise Kerner, Ph.D.  
Registration No. 33,523  
Attorney for Applicants

60 State Street  
Boston, MA 02109  
Tel. (617) 526-6000  
Fax. (617) 526-5000

Date: April 18, 2001

U.S.S.N. 09/837,806

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Applicants hereby request that the computer readable Sequence Listing from U.S. Patent Application No. 08/914,827 also be used for U.S. Patent Application No. 09/837,806. The Sequence Listing for the present application (09/837,806) is identical to the computer readable copy and the written copy of the Sequence Listing for the parent application (U.S. Patent Application No. 08/914,827).

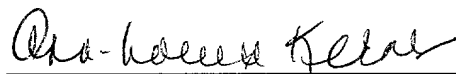
Also enclosed are formal drawings, Figures 1-3, in compliance with 37 C.F.R. 1.84.

No additional fees are believed to be due in connection with this filing. However, please charge any underpayments or credit any overpayments to our Deposit Account No. 08-0219.

If there are any questions, please call the undersigned at the telephone number indicated below.

Respectfully submitted,

HALE AND DORR LLP



Ann-Louise Kerner, Ph.D., Reg. No. 33,523  
Attorney/Agent for Applicant

Date: Sept. 27, 2001  
HALE AND DORR LLP  
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Boston, MA 02109  
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## UNITED STATES PATENT AND TRADEMARK OFFICE

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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/837,806	04/18/2001	Sudhir Agrawal	HYZ-069 CN

CONFIRMATION NO. 8489

## FORMALITIES LETTER



\*OC00000006456931\*

Ann-Louise Kerner, Ph.D.  
Hale And Dorr LLP  
60 State Street  
Boston, MA 02109-1816

Date Mailed: 08/22/2001

## NOTICE TO FILE CORRECTED APPLICATION PAPERS

*Filing Date Granted*

This application has been accorded an Application Number and Filing Date. The application, however, is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given **TWO MONTHS** from the date of this Notice within which to correct the informalities indicated below. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The required item(s) identified below must be timely submitted to avoid abandonment:


- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).
- A copy of the "Sequence Listing" in computer readable form has not been submitted as required by 37 C.F.R. 1.821(e). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000). Applicant must provide an initial computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If applicant desires the sequence listing in the instant application to be identical with that of another application on file in the U.S. Patent and Trademark Office, such request in accordance with 37 CFR 1.821(e) may be submitted in lieu of a new CRF.

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

  
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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE